

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** : Group Art Unit:  
**Accapadi, et. al.** : Intellectual Property Law Department  
**Serial No.:** : International Business  
**Filed:** : Machines Corporation  
**Title: System and Method for Dynamically** : Intellectual Property Law Dept.  
**Adjusting Read Ahead Values Based** : 11400 Burnet Road  
**Upon Memory Usage** : Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Assistant Commissioner of Patents  
 Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By Joseph T. Van Leedwen  
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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

Applicant: Accapadi, et. al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920040288US1

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					
_____	AF					
_____	AG					

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation Yes No</u>
_____	AH					
_____	AI					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

_____	AJ	"Evaluating Advanced Time Sharing Systems," IBM TDB, Oct. 1971, p. 1462-65.
_____	AK	"Redirector Multimedia Streaming Using Asynchronous Read Ahead," IBM TDB, May 1994, p. 65-68
_____	AL	"Performance Overview of Management of Fixed Disk Storage," AIX Ver. 3.2 and 4 Performance Tuning Guide, <a href="http://nscp.upen.edu/aix4.3html/aixbman/prftungd/fdiskman.htm">http://nscp.upen.edu/aix4.3html/aixbman/prftungd/fdiskman.htm</a> , 5pages.
_____	AM	"Tuning with vmtune," Performance Management Guide, <a href="http://publibn.boulder.ibm.com/doc_link/en_US/a_doc/lib/aixbman/prftungd/2365c89.htm">http://publibn.boulder.ibm.com/doc_link/en_US/a_doc/lib/aixbman/prftungd/2365c89.htm</a> , 6 pages.
_____	AN	"Performance Overview of the Virtual Memory Manager (VMM)," Performance Management Guide, <a href="http://publibn.boulder.ibm.com/pseries/en_US/aixbman/prftungd/2365c22.htm">http://publibn.boulder.ibm.com/pseries/en_US/aixbman/prftungd/2365c22.htm</a> , 9 pages.
_____	AO	"Virtual Memory Manager (VMM) Overview," System Management Concepts: Operating System and Devices, <a href="http://publib16.boulder.ibm.com/pseries/en_US/aixbman/admnconc/vmm_overview.htm">http://publib16.boulder.ibm.com/pseries/en_US/aixbman/admnconc/vmm_overview.htm</a> , 2 pages.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.